

**General information about company**

Scrip code	533107
NSE Symbol	SWANDEF
MSEI Symbol	NOTLISTED
ISIN	INE542F01020
Name of the company	Swan Defence and Heavy Industries Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2025
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

<b>Declaration</b>					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table VI - Statement showing foreign ownership limits**

<b>Particular</b>	<b>Approved limits (%)</b>	<b>Limits utilized (%)</b>
As on shareholding date	100	0.14
As on the end of previous 1st quarter	100	0.14
As on the end of previous 2nd quarter	100	2.67
As on the end of previous 3rd quarter	100	2.69
As on the end of previous 4th quarter	100	2.75

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	50000000			50000000	94.91	50000000		50000000	94.91
(B)	Public	99427	2682150			2682150	5.09	2682150		2682150	5.09
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	99428	52682150			52682150	100	52682150		52682150	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				94.91	50000000	100			50000000			
(B)	Public				5.09					2682150	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100	50000000	94.91			52682150	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	50000000			50000000	94.91	50000000		50000000	94.91
Sub-Total (A)(1)		1	50000000			50000000	94.91	50000000		50000000	94.91
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	50000000			50000000	94.91	50000000		50000000	94.91
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(e)	Insurance Companies	1	212603			212603	0.4	212603		212603	0.4
(i)	NBFCs registered with RBI	2	15			15	0	15		15	0
Sub-Total (B)(1)		3	212618			212618	0.4	212618		212618	0.4
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	5	3833			3833	0.01	3833		3833	0.01

(e)	Foreign Portfolio Investors Category II	1	9090			9090	0.02	9090		9090	0.02
Sub-Total (B)(2)		6	12923			12923	0.02	12923		12923	0.02
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	94924	1594618			1594618	3.03	1594618		1594618	3.03
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	2	116900			116900	0.22	116900		116900	0.22
(i)	Non Resident Indians (NRIs)	1215	59285			59285	0.11	59285		59285	0.11
(l)	Bodies Corporate	506	604139			604139	1.15	604139		604139	1.15
(m)	Any Other (specify)	2771	81667			81667	0.16	81667		81667	0.16
Sub-Total (B)(4)		99418	2456609			2456609	4.66	2456609		2456609	4.66
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		99427	2682150			2682150	5.09	2682150		2682150	5.09
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		99428	52682150			52682150	100	52682150		52682150	100
Total (A+B+C )		99428	52682150			52682150	100	52682150		52682150	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(d)				94.91	50000000	100			50000000			
Sub-Total (A)(1)				94.91	50000000	100			50000000			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)				94.91	50000000	100			50000000			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(e)				0.4					212603	0	0	0
(i)				0					15	0	0	0
Sub-Total (B)(1)				0.4					212618	0	0	0



(2)	Institutions (Foreign)											
(d)				0.01					3833	0	0	0
(e)				0.02					9090	0	0	0
Sub-Total (B)(2)				0.02					12923	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(g)				3.03					1594618	0	0	0
(h)				0.22					116900	0	0	0
(i)				0.11					59285	0	0	0
(l)				1.15					604139	0	0	0
(m)				0.16					81667	0	0	0
Sub-Total (B)(4)				4.66					2456609	0	0	0
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)+(B)(4)				5.09					2682150	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total ( A+B+C2 )				100	50000000	94.91			52682150			
Total (A+B+C )				100	50000000	94.91			52682150			
Disclosure of notes in case of public share holding is less than 25 percentage								Textual Information(1)				
Disclosure of notes on shareholding pattern for company remarks explanatory								Textual Information(1)				

Text Block	
Textual Information()	The Company is exploring options to increase in public shareholding and the will be achieved in due course of time
Textual Information()	Ultimate Holding Company had been added as Promoter Group after assessing the definition of the Promoter Group under SEBI (ICDR) Regulations, 2018

Any Other (specify)			
Searial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	HAZEL INFRA LIMITED	SWAN ENERGY Limited	
PAN (II)			Total
No. of the Shareholders (I)	1	0	1
No. of fully paid up equity shares held (IV)	50000000	0	50000000
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	50000000	0	50000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	94.91	0	94.91
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	50000000	0	50000000
Class eg:y			
Total	50000000	0	50000000

Total as a % of Total Voting rights	94.91	0	94.91
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	94.91	0	94.91
Number of Locked in shares (XII)			
No. (a)	50000000	0	50000000
As a % of total Shares held (b)	100	0	100
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in	50000000	0	50000000

dematerialized form (XIV)			
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter Group	

<b>Bodies Corporate</b>		
Searial No.	1	
Name of the Shareholders (I)	VISTRA ITCL INDIA LIMITED	<a href="#">Click here to go back</a>
PAN (II)		Total
No. of fully paid up equity shares held (IV)	527654	527654
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	527654	527654
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1	1
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	527654	527654
Class eg:y		
Total	527654	527654
Total as a % of Total Voting rights	1	1
No. Of Shares Underlying Outstanding		

convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1	1
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	527654	527654
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0

Sub-category (ii)	0	0
Sub-category (iii)	0	0



Any Other (specify)				
Searial No.	1	2	3	
Category	Clearing Members	HUF	Trusts	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				Click here to go back
PAN (II)				Total
No. of the Shareholders (I)	1	2766	4	2771
No. of fully paid up equity shares held (IV)	25	81612	30	81667
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	25	81612	30	81667
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.15	0	0.15
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	25	81612	30	81667
Class eg:y				

Total	25	81612	30	81667
Total as a % of Total Voting rights	0	0.15	0	0.15
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0.15	0	0.15
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	25	81612	30	81667
Reason for not providing PAN				

Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0